



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: Ram B. Gupta et al.

§ Group Art Unit: 1624

Application No.: 09/964,919 ✓

§ Examiner: V. Balasubramanian

Filed: December 27, 2001

§ Docket: 01005-00

For: Novel Red-Shifted Triazine Ultravioletlight
Absorbers ✓

§ Date: January 3, 2003

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INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.56

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

In accordance with the continuing duty of disclosure imposed by 37 CFR § 1.56 to inform the Patent and Trademark Office of all information coming to the attention of each individual associated with the filing or prosecution of the subject application, which are or may be material to the patentability of any claim of the application, Attorney for Applicants hereby direct the Examiner's attention to the documents listed on the attached form PTO 1449. A copy of the references are provided herewith.

Identification of the listed documents is not to be construed as an admission of Applicants or Attorney for Applicants that such reference is available as "prior art" against the subject application.

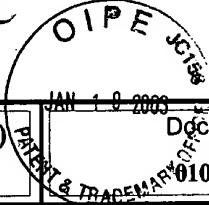
Applicants respectfully request that the Examiner review the foregoing document and that the document be made of record in the file history of the application.

Respectfully submitted,


James A. Jubinsky 42,700
(Reg. No.)

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Patent Law Department
1937 West Main Street / P.O. Box 60
Stamford, CT 06904-0060
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N:\CASEFILE\01075\DS statement.doc

**CERTIFICATE OF MAILING BY FIRST CLASS MAIL (37 CFR 1.8)**

Applicant(s): Ram B. Gupta et al.

JAN 10 2003

Docket No.
01005-00

Serial No. 09/964,919	Filing Date December 27, 2001	Examiner V. Balasubramanian	Group Art Unit 1624
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Invention:

NOVEL RED-SHIFTED TRIAZINE ULTRAVIOLETLIGHT ABSORBERS

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TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
01005-00

In Re Application Of: Ram Gupta et al.

JAN 10 2003

Serial No.
09/964,919

Filing Date
September 27, 2001

Examiner
V. Balasubramanian

Group Art Unit
1624

Title:

NOVEL RED-SHIFTED TRIAZINE ULTRAVIOLETLIGHT ABSORBERS

Address to:
Assistant Commissioner for Patents
Washington, D.C. 20231

37 CFR 1.97(b)

1. The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

2. The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

the statement specified in 37 CFR 1.97(e);

OR

the fee set forth in 37 CFR 1.17(p).

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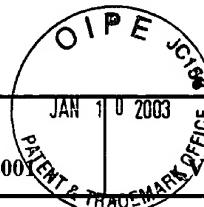
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Serial No.
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Filing Date
 September 27, 2001

Examiner
 Balasubramanian

Group Art Unit
 1624



NOVEL RED-SHIFTED TRIAZINE ULTRAVIOLETLIGHT ABSORBERS

Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- A check in the amount of _____ is attached.
- The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No.03-4083 as described below. A duplicate copy of this sheet is enclosed.
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James A. Jubinsky
 Signature

James A. Jubinsky
 Registration No. 42,700

Dated: 1/3/2003

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